

# Estimated reach of FCC-ee in searches for lepton flavor violation in tau decays

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# Motivation

Charged Lepton Flavor Violation (cLFV) is a Clean Probe of New Physics

- Neutrino oscillations demonstrate that lepton flavor is not an exact symmetry
- In the Standard Model with massive neutrinos, charged LFV decays are allowed but extremely suppressed ( $BR$  is at the level of  $10^{-50}$ )
- Many BSM Models predict observable LFV rates at the level of  $10^{-10}$  -  $10^{-8}$  for some parameter regions
- Current 90% CL upper limits for branching fraction  $B(\tau \rightarrow 3\mu)$ :

Belle II	$1.9 \times 10^{-8}$
BaBar	$3.3 \times 10^{-8}$
LHCb	$4.6 \times 10^{-8}$

# FCC-ee: A Unique Opportunity for LFV $\tau$ Searches

Future circular  $e^+e^-$  collider at CERN:

- Designed for ultra-high luminosity precision measurements at the electroweak scale.
- Z Programme:  $N_Z \approx 6 \times 10^{12}$  corresponding to  $N_{\tau\tau} \approx 2 \times 10^{11}$  (about 4.5 times more than Belle II)

Ideal Environment for Rare  $\tau$  Searches:

- Clean  $e^+e^-$  collisions
- Negligible pileup
- Well-defined initial state
- Excellent tracking and vertexing
- Precise lepton identification
- Boosted  $\tau$  topology from Z decays

FCC-ee combines the world's largest  $\tau$  sample with a clean experimental environment, making it one of the most sensitive future facilities for charged LFV searches.

# Signal and Background Samples

## Signal samples:

- $ee \rightarrow Z \rightarrow \text{tau} (\text{tau} \rightarrow 3\mu)$ ,
- $ee \rightarrow Z \rightarrow \text{tau} (\text{tau} \rightarrow 3e)$

## Background samples:

- $ee \rightarrow Z \rightarrow \text{tau tau}$
- $ee \rightarrow Z \rightarrow \mu \mu$
- $ee \rightarrow Z \rightarrow ee$
- $ee \rightarrow Z \rightarrow q \bar{q}$
- $ee \rightarrow Z \rightarrow \text{tau} (\text{tau} \rightarrow 3\pi \nu)$

Generation - Pythia8

tau decays - EvtGen

Detector response - Delphes:

- Charged particle tracking (parameterized)
- Calorimeter response (parameterized)
- No detailed particle–matter interactions
- No electromagnetic or hadronic shower development
- No detailed detector geometry
- No full experimental reconstruction chain
- No realistic FSR/bremsstrahlung recovery

# Analysis Strategy

- Preselection (basic acceptance + quality cuts)
- Signal region definition ( $m_{3l}$ ,  $\Delta E$  plane)
- Background estimation (PID weights, counting events in signal region or counting in sideband region and extrapolating to signal region)
- Sensitivity calculation (estimated 90% CL upper limit for BR)

# Event Selection and $\tau$ Candidate Reconstruction

**Preselection Goal:** Retain high-efficiency  $\tau$ -pair events while suppressing leptonic and hadronic backgrounds.

## Event Topology

Motivation: select well-reconstructed  $\tau$ -pair topologies within detector acceptance.

## Missing-Energy Signature

Motivation: exploit missing energy from neutrinos in  $\tau$  decays.

## Acollinearity Selection

Motivation: reject back-to-back  $e^+e^- \rightarrow \ell^+\ell^-$  events.

Charged particle tracks divided into hemispheres by a plane perpendicular to the event thrust axis.

**LFV  $\tau$  Candidate Reconstruction** for the signal hemisphere:

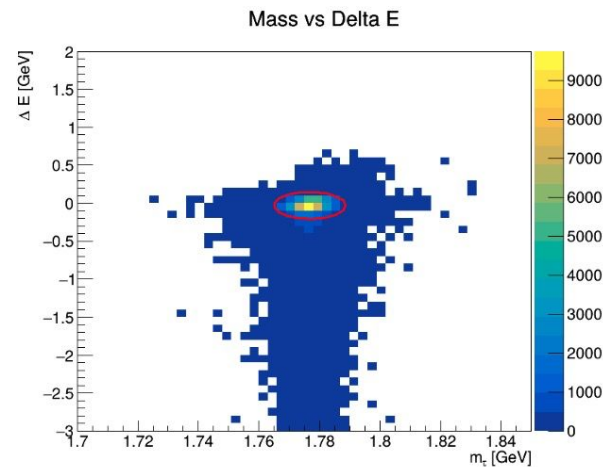
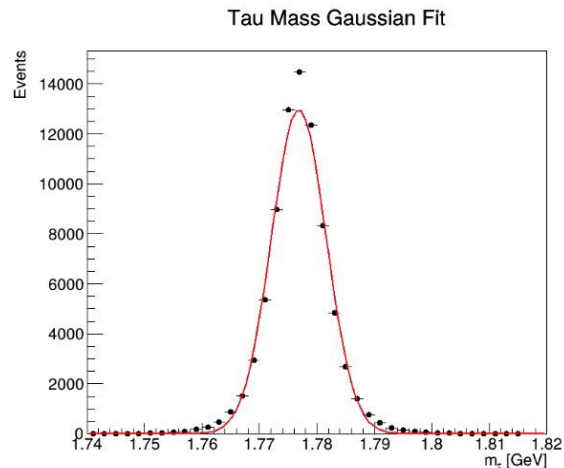
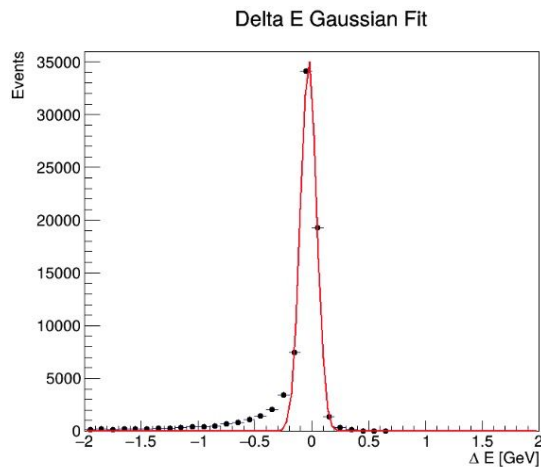
- Candidate invariant mass reconstruction from three charged tracks.
- Require charge consistency.
- For misidentification background events weighted by probability of particle misidentification:  $w_{event} = \prod_i P_i^{misID}$

Additional cuts:

- each candidate particle momentum:  $p > 2 \text{ GeV}$
- invariant mass of two particles with opposite charge:  $m^2 > 0.5 \text{ GeV}^2/c^4$

# Signal Region

Gaussian fitted to  $m_{3l}$  and  $\Delta E$  after preselection cuts. The signal region (SR) was then defined as a  $2\sigma$  region in both reconstructed mass and  $\Delta E$ .



# Estimation of Background in SR

All charged particles used in the  $\tau$  reconstruction were assigned the muon (electron) mass hypothesis.

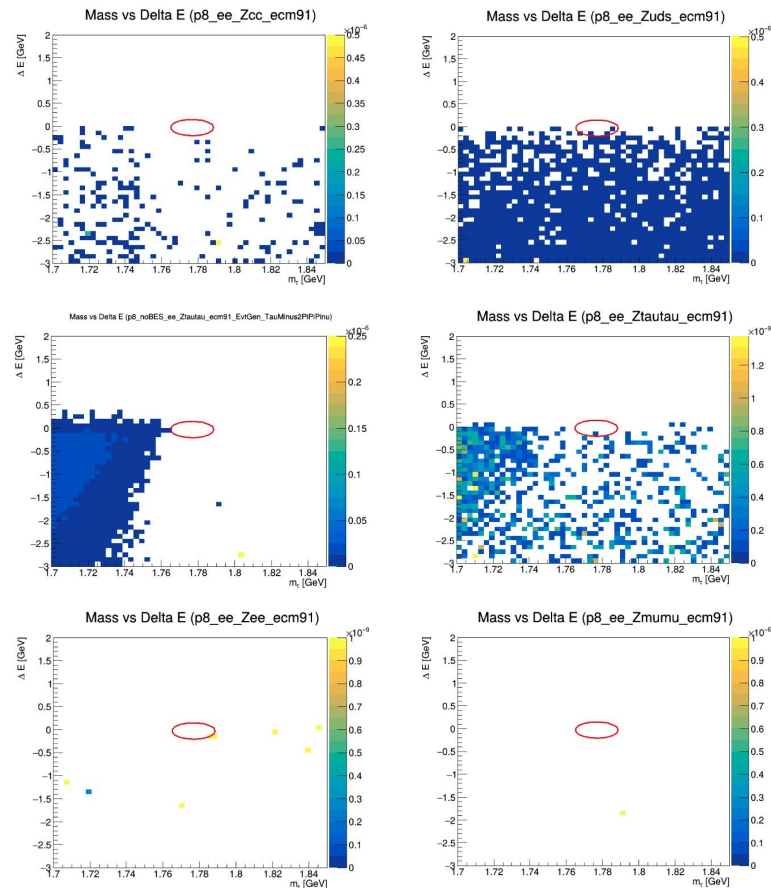
Event weights were assigned according to particle-dependent misidentification probabilities.

The total event weight obtained from the product of the corresponding per-particle misidentification probabilities.

The number of weighted background events was evaluated inside the SR, and inside a wider  $20\sigma \times 20\sigma$  region in the reconstructed  $m_{3l}$ ,  $\Delta E$  plane.

The resulting yields were then extrapolated to the SR assuming a flat background distribution.

The yields were subsequently rescaled to the expected FCC-ee luminosity using the generated sample size and the corresponding production cross sections.



# Signal Efficiency and Background Rejection

Signal Sample	Initial size	$\epsilon_{\text{PreSel}} [\%]$	$\epsilon_{\text{SR}} [\%]$
tau $\rightarrow$ 3mu	100 000	<b>81.50</b>	<b>47.82</b>
tau $\rightarrow$ 3e	200 000	<b>80.80</b>	<b>39.12</b>

Background Sample	Initial size	$\epsilon_{\text{PreSel}} [\%]$	$N_{\text{SR}} (\sum w_i)$		Scale factor	$N_{\text{SR}}$ scaled	
			3mu	3e		3mu	3e
ee $\rightarrow$ Z $\rightarrow$ tau tau	100M	<b>82.02</b>	$2.45 \times 10^{-9}$	$3.60 \times 10^{-9}$	$2.00 \times 10^3$	<b><math>4.91 \times 10^{-6}</math></b>	<b><math>7.20 \times 10^{-6}</math></b>
ee $\rightarrow$ Z $\rightarrow$ mu mu	100M	<b>0.13</b>	$1.00 \times 10^{-7}$	$1.00 \times 10^{-10}$	$2.00 \times 10^3$	<b><math>2.00 \times 10^{-4}</math></b>	<b><math>2.00 \times 10^{-7}</math></b>
ee $\rightarrow$ Z $\rightarrow$ ee	100M	<b>0.21</b>	$1.00 \times 10^{-5}$	$1.00 \times 10^{-2}$	$2.00 \times 10^3$	<b><math>2.00 \times 10^{-2}</math></b>	<b>20.00</b>
ee $\rightarrow$ Z $\rightarrow$ c cbar	500M	<b>0.16</b>	$2.75 \times 10^{-10}$	$2.00 \times 10^{-10}$	$1.43 \times 10^3$	<b><math>3.93 \times 10^{-7}</math></b>	<b><math>2.86 \times 10^{-7}</math></b>
ee $\rightarrow$ Z $\rightarrow$ b bbar	500M	<b>0.05</b>	$2.50 \times 10^{-11}$	$1.00 \times 10^{-9}$	$2.08 \times 10^3$	<b><math>5.19 \times 10^{-8}</math></b>	<b><math>2.08 \times 10^{-6}</math></b>
ee $\rightarrow$ Z $\rightarrow$ uds	1000M	<b>0.63</b>	$1.20 \times 10^{-9}$	$4.20 \times 10^{-8}$	$2.55 \times 10^3$	<b><math>3.05 \times 10^{-6}</math></b>	<b><math>1.07 \times 10^{-4}</math></b>
tau $\rightarrow$ 3 $\nu$	5M	<b>86.25</b>	$5.09 \times 10^{-8}$	$6.97 \times 10^{-8}$	$3.76 \times 10^3$	<b><math>1.92 \times 10^{-5}</math></b>	<b><math>2.62 \times 10^{-4}</math></b>

# Upper Limits and Branching-Ratio Sensitivity

Background estimation is regularised using bayesian inference with a Jeffreys prior:

$$b = (n_{\text{obs}} + 1/2) \pm \sqrt{(n_{\text{obs}} + 1/2)}$$

Then the expected background is obtained from selected MC events after:

- luminosity scaling,
- PID / misidentification weighting,

The expected 90% CL upper limit is estimated using a smooth approximation interpolating between the exact background-free Bayesian limit and the Gaussian large-background regime:

$$s_{90} = \sqrt{s_0^2 + z^2(b + \sigma_b^2)}$$

where

- $b$  - expected background yield
- $\sigma_b$  - uncertainty on the background prediction
- $s_0 = -\ln(1-\text{CL})$
- $z = \Phi^{-1}((1+\text{CL})/2)$

Branching-Ratio Sensitivity:

$$BR_{90} = \frac{N_{UL}^{90}}{2N_{\tau\tau}\epsilon_{\tau\rightarrow 3l}\epsilon_{PID}^3}$$

where:

- $N_{UL}^{90}$  - 90% CL upper limit on the yield in SR
- $N_{\tau\tau}$  - number of expected  $\tau^+\tau^-$  pairs
- factor of 2 because either  $\tau$  can undergo the LFV decay
- $\epsilon_{\tau\rightarrow 3l}$  - signal selection efficiency
- $\epsilon_{PID}$  - single-lepton identification efficiency

## Results (90% CL Upper Limits):

Decay mode	Current best	Our estimate
$\tau \rightarrow 3\mu$	$1.9 \times 10^{-8}$ (Belle II)	$2.4 \times 10^{-11}$
$\tau \rightarrow 3e$	$2.7 \times 10^{-8}$ (Belle)	$5.1 \times 10^{-10}$

# Summary

## Key Points

- Delphes-based FCC-ee sensitivity study of LFV  $\tau$  decays.
- Signal efficiencies of approximately 48% ( $3\mu$ ) and 39% ( $3e$ ).
- Backgrounds estimated using weighted MC samples and extrapolated to the full FCC-ee dataset.
- Potential improvement of **2–3 orders of magnitude** over current limits.

## Future Improvements

- Inclusion of detector-related systematic uncertainties.
- Validation with full simulation studies.
- Optimization of signal-region definition and background modelling.

# Backup

Preselection cuts:

- charged track multiplicity  $2 \leq N_{\text{ch}} \leq 6$
- highest-momentum charged particle  $|\cos(\theta)| < 0.94$
- $P_{\text{rad}} = \sqrt{P_1^2 + P_2^2}$ ,  $E_{\text{rad}} = \sqrt{E_1^2 + E_2^2}$
- for events in which at least one charged particle satisfied  $|\cos(\theta)| < 0.73$ :
  - $P_{\text{rad}} < P_{\text{beam}}$  and  $E_{\text{rad}} < E_{\text{beam}}$  and  $\alpha_{\text{acol}} > 0.5^\circ$
- for events where all charged particles satisfied  $|\cos(\theta)| > 0.73$ :
  - $P_{\text{rad}} < 0.9 \times P_{\text{beam}}$  and  $E_{\text{rad}} < 0.9 \times E_{\text{beam}}$  and  $\alpha_{\text{acol}} > 2^\circ$
- visible event energy  $E_{\text{vis}} > 0.2 \times E_{\text{beam}}$
- event transverse momentum  $p_T > 0.4 \text{ GeV}/c$
- distance of closest approach of the leading tracks to the nominal interaction point:
  - less than 1.5 cm in the transverse plane,
  - less than 4.5 cm along the beam (z) direction.