

Shift Symmetries & AdS/CFT

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“Shift Symmetries and AdS/CFT”, arXiv:2211.02055 [hep-th].

Outline

- ❖ Review shift symmetric theories in flat spacetime
- ❖ Introduce shift symmetric theories in AdS
- ❖ Discuss properties of CFTs duals
- ❖ Results for AdS/CFT calculations
- ❖ Summary/Discussion

Shift Symmetric Fields in Flat Space

- ❖ Provide useful classifications of low-energy EFTs
- ❖ Appear in spontaneous symmetry breaking
- ❖ Lead to non-renormalization theorems
- ❖ Have enhanced soft limits in scattering amplitudes
- ❖ In exceptional cases, allows scattering amplitudes to be constructed recursively through soft subtracted recursion

Shift Symmetric Fields in Flat Space

- ❖ The simplest example of a shift symmetric theory is a free massless scalar field in flat space.

$$S = \int d^D x \left(-\frac{1}{2} \partial_\mu \phi \partial^\mu \phi \right)$$

- ❖ It is invariant under an infinite tower of shift symmetries.

$$\delta\phi = c + c_\mu x^\mu + c_{\mu_1\mu_2} x^{\mu_1} x^{\mu_2} + c_{\mu_1\mu_2\mu_3} x^{\mu_1} x^{\mu_2} x^{\mu_3} + \dots$$

↑
Cartesian spacetime
coordinates

↑
 $c_{\mu_1 \dots \mu_k}$ is a rank- k symmetric
traceless constant tensor

- ❖ We call a symmetry of the form $c_{\mu_1 \dots \mu_k} x^{\mu_1} \dots x^{\mu_k}$ a k -level shift.

- ❖ In flat spacetime, they are always massless.

Interacting Scalar Examples in Flat Space

❖ $k = 0$ is a shift by a constant c

Any theory with at least one derivative per field such as $P(X)$ theories where $X = \partial_\mu \phi \partial^\mu \phi$

❖ $k = 1$ $\delta\phi = c + c_\mu x^\mu$

DBI with the action

$$S = -\frac{\Lambda^D}{\alpha} \int d^D x \sqrt{1 + \frac{\alpha}{\Lambda^D} (\partial\phi)^2}$$

Galileons which have actions of the form

$$\mathcal{L}_n \sim \phi S_{n-1}(\partial\partial\phi), \quad n = 1, 2, \dots, D+1$$

where S_n are symmetric polynomials

❖ $k = 2$

Special Galileons are additionally invariant under

$$\delta\phi = c_{\mu\nu} \left(x^\mu x^\nu + \frac{1}{\Lambda^6} \partial^\mu \phi \partial^\nu \phi \right)$$

$$S = \int d^4 x \left(-\frac{1}{2} (\partial\phi)^2 + \frac{1}{12\Lambda^6} (\partial\phi)^2 [(\square\phi)^2 - (\partial_\mu \partial_\nu \phi)^2] \right)$$

❖ $k \geq 3$

There are no known ghost-free interacting theories with higher level shift symmetries.

Shift Symmetric Fields in AdS

- ❖ Shift symmetric theories in anti-de Sitter spacetime have masses that depend on the shift level k , spacetime dimension D , AdS radius L , and spin s

$$\begin{cases} m_k^2 = \frac{k(k+D-1)}{L^2}, & s = 0, \\ m_k^2 = \frac{(k+2)(k+D-3+2s)}{L^2}, & s \geq 1, \end{cases} \quad k = 0,1,2,\dots$$

- ❖ The equations of motion are the Klein-Gordon equations

$$\begin{cases} (\nabla^2 - m^2) \Phi = 0, & s = 0, \\ \left(\nabla^2 + \frac{1}{L^2} [s + D - 2 - (s-1)(s+D-4)] - m^2 \right) \Phi_{\mu_1 \dots \mu_s} = 0, & s \geq 1, \end{cases}$$

along with transversality and tracelessness in all the indices.

Shift Symmetric Fields in AdS

❖ The shift symmetry takes the form

$$\delta\Phi_{\mu_1 \dots \mu_s} = S_{A_1 \dots A_{s+k}, B_1 \dots B_s} X^{A_1} \dots X^{A_{s+k}} \frac{\partial X^{B_1}}{\partial x^{\mu_1}} \dots \frac{\partial X^{B_s}}{\partial x^{\mu_s}}$$

constant traceless tensor
with the symmetries of a
two row Young tableau

$$S_{A_1 \dots A_{s+k}, B_1 \dots B_s} \in \begin{array}{|c|c|} \hline s+k & \\ \hline s & \\ \hline \end{array}$$

embedding coordinates of
the AdS_D into a $(D+1)$
-dimensional auxiliary flat
spacetime

Some Interacting Scalar Examples in AdS

❖ $k = 0$ is a shift by a constant c

Any scalar with at least one derivative per field such as $P(X)$ theories where $X = \nabla_\mu \phi \nabla^\mu \phi$

❖ $k = 1$ $\delta\phi = S_A X^A$ AdS Galileons

$$\mathcal{L}_2(\phi) = \sqrt{-|G|} \left[-\frac{1}{2}(\partial\phi)^2 - \frac{2}{L^2}\phi^2 \right],$$

$$\mathcal{L}_3(\phi) = \sqrt{-|G|} \left[-\frac{1}{2}(\partial\phi)^2[\Pi] + \frac{3}{L^2}(\partial\phi)^2\phi + \frac{4}{L^4}\phi^3 \right],$$

$$\mathcal{L}_4(\phi) = \sqrt{-|G|} \left[-\frac{1}{2}(\partial\phi)^2 \left([\Pi]^2 - [\Pi^2] - \frac{1}{2L^2}(\partial\phi)^2 - \frac{6}{L^2}\phi[\Pi] + \frac{18}{L^4}\phi^2 \right) - \frac{6}{L^6}\phi^4 \right],$$

$$\mathcal{L}_5(\phi) = \sqrt{-|G|} \left[-\frac{1}{2} \left((\partial\phi)^2 - \frac{1}{5L^2}\phi^2 \right) ([\Pi]^3 - 3[\Pi][\Pi^2] + 2[\Pi^3]) \right. \\ \left. + \frac{12}{5L^2}\phi(\partial\phi)^2 \left([\Pi]^2 - [\Pi^2] - \frac{27}{12L^2}[\Pi]\phi + \frac{5}{L^4}\phi^2 \right) + \frac{24}{5L^8}\phi^5 \right]$$

⋮

$$\Pi_{\mu\nu} \equiv \nabla_\mu \nabla_\nu \phi$$

[...] means take the trace

❖ $k = 2$ AdS special Galileon

$$\delta\Phi = S_{AB} \left(X^A X^B - \frac{1}{\Lambda^6} \partial^A \Phi \partial^B \Phi \right)$$

$$\frac{\mathcal{L}_{SG}(\phi)}{|G|} = \sum_{j=1}^{D-1} \frac{\psi^{D-j} + (-1)^j \psi^{*D-j}}{i^j \Lambda^{j(D+2)/2} |\psi|^{D+3} 2\Gamma(j+3)} \left[(j+2) f_j \left(\frac{X}{|\psi|^2} \right) \right. \\ \left. - (j+1) f_{j+1} \left(\frac{X}{|\psi|^2} \right) \right] \partial^\mu \phi \partial^\nu \phi X_{\mu\nu}^{(j)}(\Pi) - \frac{\Lambda^{D+2} L^2}{2(D+1)} \left(1 - \frac{\psi^{*D+1} + \psi^{D+1}}{2|\psi|^{D+1}} \right)$$

$$f_j(x) \equiv {}_2F_1 \left(\frac{D+3}{2}, \frac{j+1}{2}; \frac{j+3}{2}; -x \right), \quad \psi \equiv 1 + \frac{2i}{\Lambda^{\frac{D}{2}+1} L^2} \phi, \quad X \equiv -\frac{1}{\Lambda^{D+2} L^2} (\partial\phi)^2$$

❖ $k \geq 3$

There are no known ghost-free interacting theories with higher level shift symmetries.

Interacting $k = 0$ Vector Examples in AdS

$$\frac{1}{\sqrt{-|G|}} \mathcal{L}(A) = -\frac{1}{2} F_{\mu\nu}^2 - \frac{6}{L^2} A^2 - \Lambda_2^4 \left(\frac{\alpha_3}{2} \epsilon^{\mu_1\mu_2\mu_3\lambda} \epsilon^{\nu_1\nu_2\nu_3\lambda} B_{\mu_1\nu_1} B_{\mu_2\nu_2} B_{\mu_3\nu_3} + \frac{\alpha_4}{2} \epsilon^{\mu_1\mu_2\mu_3\mu_4} \epsilon^{\nu_1\nu_2\nu_3\nu_4} B_{\mu_1\nu_1} B_{\mu_2\nu_2} B_{\mu_3\nu_3} B_{\mu_4\nu_4} \right)$$

$$B_{\mu\nu} = \nabla_\mu A_\nu - \nabla_\nu A_\mu$$

$$\delta A_\mu = -\xi_\mu$$

$$\begin{aligned} \frac{1}{\sqrt{-|G|}} \mathcal{L}(A) = & -\frac{1}{2} F_{\mu\nu}^2 - \frac{6}{L^2} A^2 + \frac{1}{\Lambda_2^2} \left[\frac{\alpha_3}{2} S_3(B) - \frac{1}{2} F^{\mu\alpha} F^\nu{}_\alpha X_{\mu\nu}^{(1)}(B) - \frac{3}{L^2} A^2 B \right] \\ & + \frac{1}{\Lambda_2^4} \left[\frac{1}{8} ((F_{\mu\nu} F^{\mu\nu})^2 - F_{\mu\nu} F^{\nu\alpha} F_{\alpha\beta} F^{\beta\mu}) + \frac{\alpha_4}{2} S_4(B) - \frac{3\alpha_3}{4} F^{\mu\alpha} F^\nu{}_\alpha X_{\mu\nu}^{(2)}(B) \right. \\ & + \frac{1}{4} F^{\mu\alpha} F^{\nu\beta} B_{\mu\nu} B_{\alpha\beta} + \frac{1}{4} F^{\mu\alpha} F^\nu{}_\alpha B_{\mu\nu}^2 - \frac{1}{2} F^{\mu\alpha} F^{\nu\alpha} B B_{\mu\nu} \\ & - \frac{1+6\alpha_3}{L^2} A^2 S_2(B) + \frac{1+3\alpha_3}{L^2} A^\mu A^\nu X_{\mu\nu}^{(2)}(B) \\ & \left. + \frac{2}{L^2} (A^2 F_{\mu\nu} F^{\mu\nu} - A^\mu A^\nu B_{\mu\alpha} F_\nu{}^\alpha + \frac{1}{2} A^\mu A^\nu F_{\mu\alpha} F_\nu{}^\alpha) + \frac{12}{L^4} A^4 \right] + \dots \end{aligned}$$

$$S_n(M) = n! M_{\mu_1}^{[\mu_1} M_{\mu_2}^{\mu_2} \dots M_{\mu_n}^{\mu_n]}$$

$$X_{\nu}^{(n)\mu}(M) = (n+1)! \delta_\nu^{[\mu} M_{\mu_2}^{\mu_2} \dots M_{\mu_n}^{\mu_n]}$$

$$\delta A_\mu = -\frac{2}{\Lambda_2^2} \nabla_\mu \xi^\nu A_\nu - \xi_\mu \sqrt{1 + \frac{4A^2}{(\Lambda_2^2 L)^2}}$$

Parent Fields

- ❖ Shift symmetric theories can be constructed as the decoupled longitudinal mode of a massive field as it approaches a partially massless value.
- ❖ Partially massless values occur for spins ≥ 1 at the mass values

$$\bar{m}_t^2 = -\frac{1}{L^2}(s - t - 1)(s + t + D - 4), \quad t = 0, 1, \dots, s - 1$$

where t is called the field depth, with a massless field occurring at $t = s - 1$ for $D > 2$.

Spin-1 Parent Fields Example

❖ Starting with a massive vector

$$S = \int d^4x \sqrt{|G|} \left[-\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{1}{2} m^2 A^2 \right]$$

❖ Taking the depth $t = 0$ massless limit ($m \rightarrow 0$) using the Stückelberg trick to preserve the number of degrees of freedom leads to

$$S = \int d^4x \sqrt{|G|} \left[-\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{1}{2} \nabla_\mu \phi \nabla^\mu \phi \right]$$

↑
parent field

↑
shift symmetric
longitudinal mode

- The scalar field is shift symmetric under a $k = 0$ shift.

$$\delta\phi = c$$

Spin-2 Parent Fields Examples

❖ Starting with a massive graviton

$$S = \int d^4x \left[\mathcal{L}_{m=0}(h) - \frac{1}{2}m^2\sqrt{|G|} \left(h_{\mu\nu}h^{\mu\nu} - (h^\mu{}_\mu)^2 \right) \right]$$

❖ Taking the depth $t = 1$ massless limit ($m \rightarrow 0$)

$$S = \int d^4x \left[\mathcal{L}_{m=0}(h) + \sqrt{|G|} \left(-\frac{1}{2}F_{\mu\nu}F^{\mu\nu} - \frac{6}{L^2}A^2 \right) \right]$$

- The vector field is shift symmetric under a $k = 0$ shift.

$$\delta A_\mu = -\xi_\mu, \quad \nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu = 0$$

Spin-2 Parent Fields Examples

❖ Starting with a massive graviton

$$S = \int d^4x \left[\mathcal{L}_{m=0}(h) - \frac{1}{2}m^2\sqrt{|G|} \left(h_{\mu\nu}h^{\mu\nu} - (h^\mu_\mu)^2 \right) \right]$$

❖ Taking the depth $t = 0$ partially massless limit $\left(m^2 \rightarrow -\frac{2}{L^2} \right)$

$$S = \int d^4x \left[\mathcal{L}_{m=0}(h) + \frac{2}{L^2}\sqrt{|G|} \left(h_{\mu\nu}h^{\mu\nu} - h^2 \right) + 3\sqrt{|G|} \left(-\frac{1}{2}\nabla_\mu\phi\nabla^\mu\phi - \frac{2}{L^2}\phi^2 \right) \right]$$

- The scalar field is shift symmetric under a $k = 1$ shift.

$$\delta\phi = S_A X^A$$

Our Question

Does the shift symmetry in AdS manifest itself in the dual CFT, and if so how?

CFT duals to Shift Symmetric Theories

❖ A field in AdS_D of mass m and spin s has a dual CFT operator on the $d = D - 1$ dimensional boundary with a scaling dimension related to the AdS mass by

$$\begin{cases} m^2 L^2 = \Delta(\Delta - d), & s = 0, \\ m^2 L^2 = (\Delta + s - 2)(\Delta - s - d + 2), & s \geq 1. \end{cases}$$

❖ Or solving for the conformal dimensions,

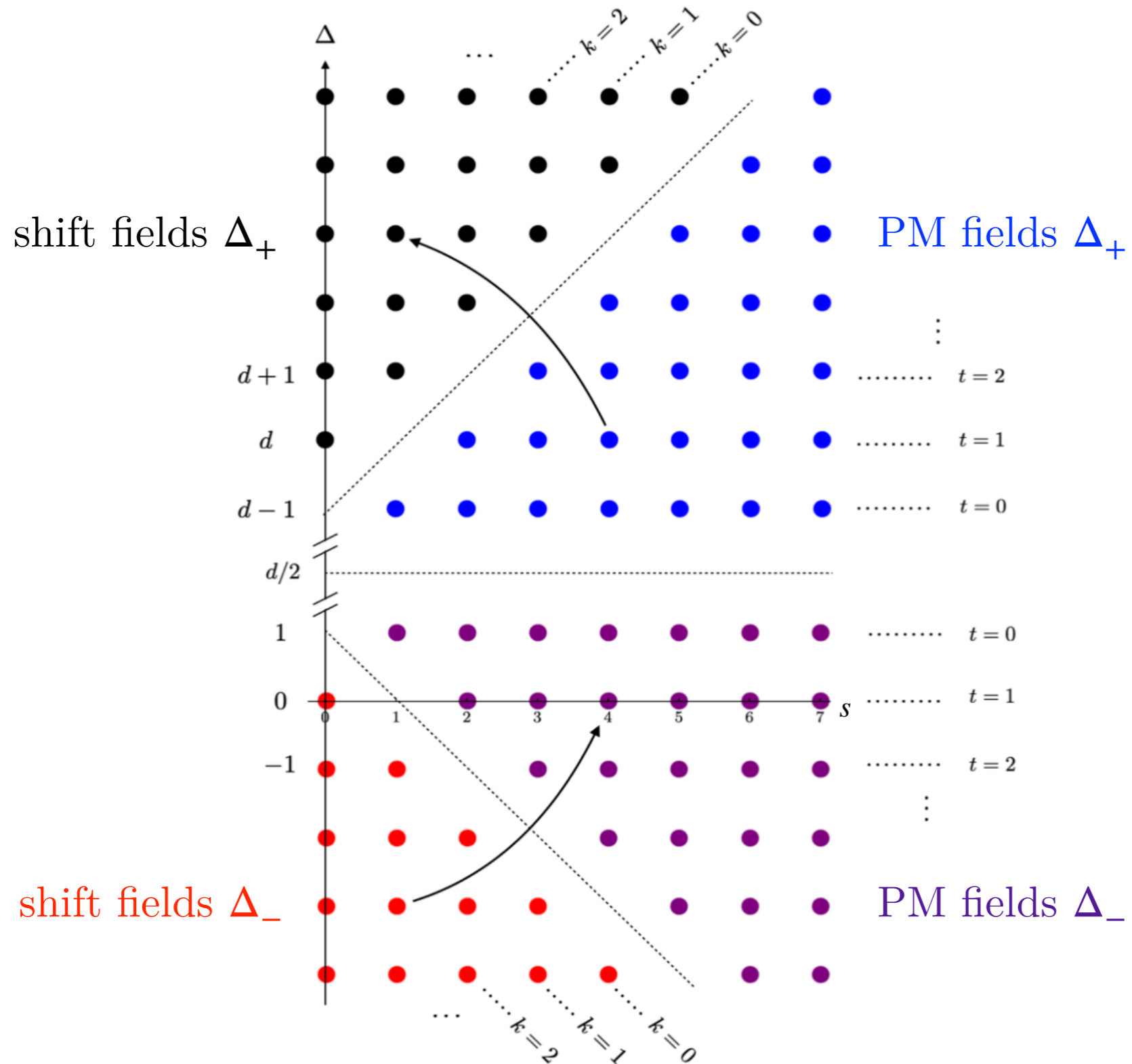
$$\begin{cases} \Delta_{\pm} = \frac{d}{2} \pm \sqrt{\frac{d^2}{4} + m^2 L^2}, & s = 0, \\ \Delta_{\pm} = \frac{d}{2} \pm \sqrt{\frac{(d + 2(s - 2))^2}{4} + m^2 L^2}, & s \geq 1. \end{cases}$$

❖ There are two different scaling dimensions for the dual CFT, Δ_+ and Δ_- .

❖ For the shift symmetric fields, the scaling dimensions dictated by the masses are

$$\Delta_+ = d + s + k, \quad \Delta_- = -s - k$$

Operator Dimensions For Shift Symmetric and PM Fields



Review of CFT Properties

❖ There is a unitarity bound for conformal primary operators.

$$\Delta \geq \begin{cases} \frac{d}{2} - 1, & s = 0 \\ s + d - 2, & s \geq 1 \end{cases}$$

❖ For shift-symmetric theories, Δ_+ values lie above the unitarity bound, and the Δ_- values lie below the unitarity bound.

❖ The two-point functions are completely fixed (up to an overall constant)

$$\langle \phi_{i_1 \dots i_s}(x) \phi^{j_1 \dots j_s}(0) \rangle = \frac{1}{x^{2\Delta}} I_{(i_1 \dots i_s)_T}^{(j_1 \dots j_s)_T}, \quad I_{ij} \equiv \eta_{ij} - 2 \frac{x_i x_j}{x^2}$$

where $(\dots)_T$ denotes the symmetric traceless part.

Near Boundary Expansion

- ❖ The near boundary Fefferman-Graham expansion of a spin- s field of generic mass contains two leading fall-off behaviours. In Poincaré coordinates $ds^2 = \frac{L^2}{z^2} (dz^2 + \delta_{ij} dx^i dx^j)$, it is given by:

$$\Phi_{i_1 \dots i_s}(x, z) = z^{\Delta_- - s} \left[\phi_{(0)i_1 \dots i_s}(x) + \dots \right] + z^{\Delta_+ - s} \left[\psi_{(0)i_1 \dots i_s}(x) + \dots \right]$$

- ❖ In standard quantization, $\phi_{(0)}$ is proportional to the source in the CFT's generating functional, while in alternate quantization, $\psi_{(0)}$ is proportional to the source.

In standard
quantization

$$\phi_{(0)} \propto J$$

In alternate
quantization

$$\psi_{(0)} \propto J$$

Near Boundary Expansion of Shift-Symmetric Fields

- ❖ For shift-symmetric spinning fields, $\Delta_+ = d + k + s$, $\Delta_- = -k - s$, the near boundary Fefferman-Graham expansion is:

$$\begin{aligned} \Phi(z, x)_{i_1 \dots i_s} = & \frac{1}{z^{k+2s}} \left[\phi_{(0)i_1 \dots i_s}(x) + z^2 \phi_{(2)i_1 \dots i_s}(x) + \dots \right] \\ & + z^{d+k} \left[\psi_{(0)i_1 \dots i_s}(x) + z^2 \psi_{(2)i_1 \dots i_s}(x) + z^4 \psi_{(4)i_1 \dots i_s}(x) + \dots \right] \end{aligned}$$

- ❖ The z-directed field components can be related to this one by the EOM and the transversality and tracelessness constraints.

Shift Symmetries and the Fefferman-Graham Expansion

❖ Applying a shift symmetry $\delta\Phi = S_{A_1\dots A_k} X^{A_1}\dots X^{A_k}$ to the near boundary expansion,

$$\Phi_{i_1\dots i_s}(x, z) = \frac{1}{z^{k+2s}} \left[\phi_{(0)i_1\dots i_s}(x) + \dots \right] + z^{d+k} \left[\psi_{(0)i_1\dots i_s}(x) + \dots \right]$$

we find that $\phi_{(0)}$ is shifted by the transformation, while $\psi_{(0)}$ is unaffected.

$$\delta\phi_{(0)i_1\dots i_s} = L^{k+2s} S_{A_1\dots A_{s+k}, B_1\dots B_s} \tilde{X}^{A_1}\dots\tilde{X}^{A_{s+k}} \frac{\partial\tilde{X}^{B_1}}{\partial x^{i_1}} \dots \frac{\partial\tilde{X}^{B_s}}{\partial x^{i_s}}$$

$$\delta\psi_{(0)i_1\dots i_s} = 0$$

Holographic Renormalization Procedure

1. **Asymptotic Solution:** Use the EOM to find the asymptotic solution in terms of two pieces of boundary data $\phi_{(0)}$ and $\psi_{(0)}$, which are then determined by the choice of boundary conditions and requiring the solution to be regular in the bulk.
2. **Regularization:** Regularize the action by cutting off the integral near the boundary at $z = \epsilon$. In general there will be terms that diverge in the limit $z \rightarrow 0$.
3. **Counterterms:** Construct the counterterm action from all possible local functions of Φ and the induced metric $\gamma_{ij} = \frac{L^2}{\epsilon^2} \eta_{ij}$ with arbitrary coefficients, evaluated on the $z = \epsilon$ boundary.
4. **Renormalized On-shell Action:** Add the counterterm action to the regularized action and tune the coefficients of the counterterm action to remove divergences giving the finite renormalized action.

Renormalized Action

❖ The renormalization procedure leads to the renormalized action

$$S_{\text{ren}} = \lim_{\epsilon \rightarrow 0} (S_{\epsilon} + S_{\text{c.t.}}) = \int \frac{d^d p}{(2\pi)^d} \left[- \left(k + \frac{d}{2} \right) \phi_{(0)}(p) \psi_{(0)}(-p) \right]$$

❖ For standard quantization, we identify $\phi_{(0)} = J$ and for alternate quantization, we identify $\psi_{(0)} = J$.

Result for Standard Quantization

❖ For standard quantization of shift symmetric scalars

$$S_{\text{ren}} = \int \frac{d^d p}{(2\pi)^d} \left[-\frac{\left(k + \frac{d}{2}\right) \Gamma\left(-k - \frac{d}{2}\right)}{4^{\left(k + \frac{d}{2}\right)} \Gamma\left(k + \frac{d}{2}\right)} p^{2k+d} |J(p)|^2 \right]$$

leading to the position space correlation function for the CFT dual to take the form

$$\langle \mathcal{O}(0) \mathcal{O}(x) \rangle \propto \frac{1}{x^{2(d+k)}}$$

which has the canonical structure of a 2-point correlation function of a primary field.

Ward Identities

❖ The Noether procedure leads to an integral constraints*

$$S_{\text{ren}} = \int d^d x \sqrt{-\gamma} \langle \mathcal{O} \rangle_s S_{A_1 \dots A_k} \tilde{X}^{A_1} \dots \tilde{X}^{A_k} = 0$$

which can be differentiated to give a constraint for any n-point correlation function.

For 2-point functions, the Ward identity is

$$\int d^d x \langle \mathcal{O}(x) \mathcal{O}(0) \rangle S_{A_1 \dots A_k} \tilde{X}^{A_1} \dots \tilde{X}^{A_k} = 0.$$

* M. M. Caldarelli, A. Christodoulou, I. Papadimitriou, and K. Skenderis, “Phases of planar AdS black holes with axionic charge,” JHEP 04 (2017) 001, arXiv:1612.07214 [hep-th].

Ward Identities

- ❖ For example, considering the scalar with $k = 0$, the Ward identity becomes

$$\int d^d x \langle \mathcal{O}(x) \mathcal{O}(0) \rangle = 0$$

- ❖ This becomes a soft limit in momentum space, which is satisfied by the momentum space correlator.

$$\lim_{p \rightarrow 0} \langle \mathcal{O}(p) \mathcal{O}(-p) \rangle \sim \lim_{p \rightarrow 0} p^d = 0$$

Result for Alternate Quantization

❖ For alternate quantization of shift symmetric theories,

$$S_{ren} = \int \frac{d^d p}{(2\pi)^d} \left[- \left(k + \frac{d}{2} \right) 4^{\left(k + \frac{d}{2} \right)} \frac{\Gamma \left(k + \frac{d}{2} \right)}{\Gamma \left(-k - \frac{d}{2} \right)} \frac{1}{p^{2k+d}} |J(p)|^2 \right]$$

leading to the position space correlation function for the CFT dual to take the form

$$\langle \mathcal{O}(0) \mathcal{O}(x) \rangle \propto x^{2k} \log(x^2)$$

which violates the canonical structure of a 2-point correlation function for a primary field.

Analogous to the Case of a Free Massless Scalar in 2d

❖ For this familiar case (which is shift-symmetric)

$$S_{CFT} = \int d^2x \left(-\frac{1}{2} \partial_\mu \phi \partial^\mu \phi \right)$$

where the two-point function is given in complex coordinates z, w by

$$\langle \phi(z, \bar{z}) \phi(w, \bar{w}) \rangle \propto \log(z - w) + \log(\bar{z} - \bar{w})$$

❖ This indicates that ϕ is not a primary operator. However, $\partial_z \phi$ is a primary operator of spin 1 and conformal dimension 1, the two-point function of which is given by

$$\langle \partial_z \phi(z, \bar{z}) \partial_w \phi(w, \bar{w}) \rangle \propto \frac{1}{(z - w)^2}$$

Result for Alternate Quantization

❖ We find an analogous result for the alternately quantized CFTs from shift symmetric theories.

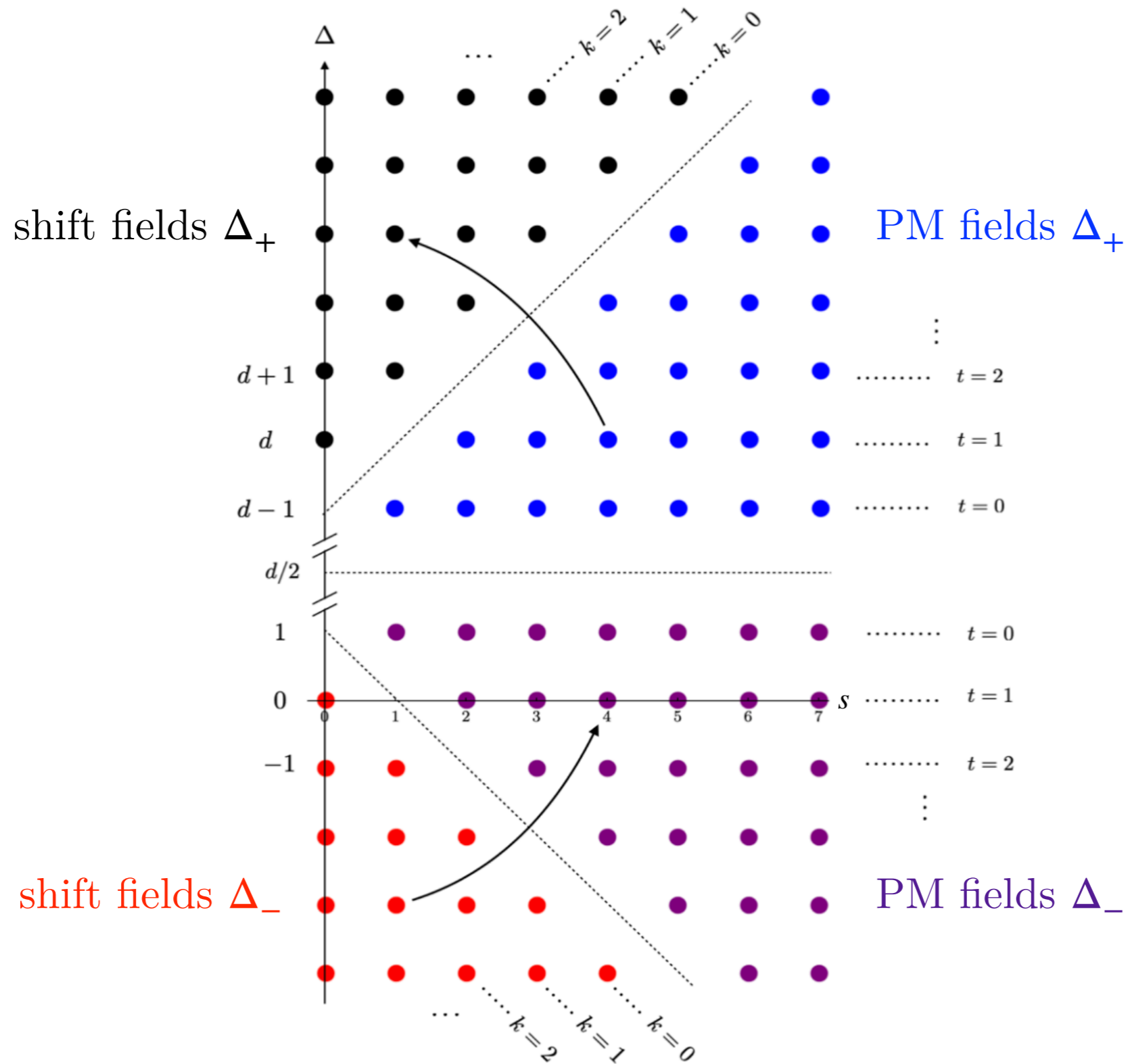
❖ The logarithmic structure implies that \mathcal{O} is not a primary field. However, we can construct a primary field by taking

$$F_{i_1 \dots i_{k+1}} = \partial_{(i_1} \dots \partial_{i_{k+1})} \mathcal{O}$$

❖ This leads to a correlation function corresponding to a primary field with spin $k + 1$ and conformal dimension $\Delta = 1$.

$$\langle F_{i_1 \dots i_{k+1}}(x) F^{j_1 \dots j_{k+1}}(0) \rangle = \frac{1}{x^2} I_{(i_1}^{(j_1} \dots I_{i_{k+1})}^{j_{k+1})} \quad I_{ij} \equiv \delta_{ij} - 2 \frac{x_i x_j}{x^2}$$

Operator Dimensions For Shift Symmetric and PM Fields



Near Boundary Expansion

❖ For shift-symmetric scalars, $\Delta_+ = d + k$, $\Delta_- = -k$, the near boundary Fefferman-Graham expansion splits into two cases:

$\Delta_+ - \frac{d}{2} = k + \frac{d}{2} \notin \mathbb{Z}$, corresponding to **odd** dimensions

$$\Phi(z, x) = \frac{1}{z^k} \left[\phi_{(0)}(x) + z^2 \phi_{(2)}(x) + \dots \right] + z^{d+k} \left[\psi_{(0)}(x) + z^2 \psi_{(2)}(x) + \dots \right]$$

$\Delta_+ - \frac{d}{2} = k + \frac{d}{2} \in \mathbb{Z}$, corresponding to **even** dimensions

$$\Phi(z, x) = \frac{1}{z^k} \left[\phi_{(0)}(x) + z^2 \phi_{(2)}(x) + \dots + z^{d+2k-2} \phi_{(d+2k-2)}(x) \right] \\ + z^{d+k} \left[\left(\psi_{(0)}(x) + \phi_{(d+2k)}(x) \log(\mu z) \right) + z^2 \left(\psi_{(2)}(x) + \phi_{(d+2k+2)}(x) \log(\mu z) \right) + \dots \right]$$

Results for even dimensions

❖ For standard quantization of shift symmetric theories

$$S_{\text{ren}} = \int \frac{d^d p}{(2\pi)^d} \frac{(-1)^{\left(k+\frac{d}{2}\right)}}{2^{2k+d-1} \Gamma\left(k+\frac{d}{2}\right)^2} p^{2k+d} \log(p/\mu) |J(p)|^2, \quad \nu \in \mathbb{Z}$$

leading to the position space correlation function for the CFT dual to take the form

$$\langle \mathcal{O}(0) \mathcal{O}(x) \rangle \propto \frac{1}{x^{2(d+k)}}$$

which has the canonical structure of a 2-point correlation function for a primary field.

Results even dimensions

❖ For alternate quantization of shift symmetric theories

$$S_{\text{ren}} = \int \frac{d^d p}{(2\pi)^d} \frac{(-1)^{\left(k+\frac{d}{2}\right)} 2^{2k+d-1} \Gamma\left(k+\frac{d}{2}\right)^2}{p^{2k+d}(\log(p/\mu) + c)} |J(p)|^2$$

which is not conformally invariant and neither are any of its derivatives.

❖ We suspect this is due to needing an alternate regularization procedure for these cases.

Vector Case

- ❖ We also looked at holographic renormalization for shift-symmetric vectors. The procedure is the same, but with more complicated expressions.

Standard in Odd Dimensions

$$\langle \mathcal{O}_i(0) \mathcal{O}_j(x) \rangle \propto \frac{1}{x^{2(d+k+1)}} \left(\eta_{ij} - 2 \frac{x_i x_j}{x^2} \right)$$

Standard in Even Dimensions

$$\langle \mathcal{O}_i(0) \mathcal{O}_j(x) \rangle \propto \frac{1}{x^{2(d+k+1)}} \left(\eta_{ij} - 2 \frac{x_i x_j}{x^2} \right)$$

Alternate in Odd Dimension

$$\langle \mathcal{O}_i(0) \mathcal{O}_j(x) \rangle \propto x^{2(k+1)} \left(\eta_{ij} - 2 \frac{x_i x_j}{x^2} \right) \log(x^2)$$

Create primary operator of spin $k + 2$ and $\Delta = 0$

$$F_{i_1 \dots i_{k+2}} = \partial_{(i_1} \dots \partial_{i_{k+1}} \mathcal{O}_{i_{k+2})T}$$

$$\langle F_{i_1 \dots i_{k+2}} F^{j_1 \dots j_{k+2}} \rangle \sim I_{(i_1 \dots i_{k+2})T}^{(j_1 \dots j_{k+2})T}$$

Alternate in Even Dimension

Same issue with $\frac{1}{\log(p/\mu) + c}$

- ❖ We expect higher spin theories to follow the same pattern.

Summary

- ❖ The CFT dual obtained by **standard quantization** is affected by the shift symmetry.
 - It has 2-point correlation functions in position space with the **canonical form** for primary operators.
 - We find Ward identities that take the form of integral constraints.
- ❖ The CFT dual obtained by **alternate quantization** preserves the shift symmetry.
 - In **odd dimensions**, this leads to two-point correlation functions in position space with **logarithmic** behavior violating the canonical form for primary operators.
 - The shift invariant field strength can be constructed by taking $k + 1$ traceless symmetrized derivatives of the operator giving a primary operator of spin $s + k + 1$ and conformal dimension $1 - s$.

Discussion

- ❖ There are some AdS flux vacua where the dual operators have integer conformal dimensions.
 - F. Apers, J. P. Conlon, S. Ning, and F. Revello, “Integer conformal dimensions for type IIa flux vacua,” *Phys. Rev. D* 105 no. 10, (2022) 106029, [arXiv:2202.09330 \[hep-th\]](#).
 - E. Plauschinn, “Mass spectrum of type IIB flux compactifications - comments on AdS vacua and conformal dimensions,” [arXiv:2210.04528 \[hep-th\]](#).
 - F. Apers, “Aspects of AdS flux vacua with integer conformal dimensions,” [arXiv:2211.04187 \[hep-th\]](#).
- ❖ Some interesting things to look at in the future would be
 - Consider interacting shift symmetric theories, which we expect to exhibit interesting behavior.
 - Understand what is happening with alternate quantization of shift symmetric theories in even dimensions.